

08/28/01 Please type a plus sign [+] inside this box ☐ 08-29-01

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional application under 37 C.F.R. § 1.53(b))</small>	<b>Attorney Docket No.</b>	IN-5520
	<b>First Named Inventor</b>	BRADFORD et al.
	<b>Filing Date</b>	Tuesday, August 28, 2001
	<b>Title</b>	DUAL CURE COATING COMPOSITION AND PROCESSES FOR USING THE SAME
	<b>Express Mail Certificate</b>	EK157042014US

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents</small>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form (e.g. PTO/SB/17)</b> <small>(Submit an original and a duplicate for fee processing)</small>	7. <input type="checkbox"/> <b>CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</b>
2. <input type="checkbox"/> <b>Applicant claims small entity status.</b> <small>See 37 CFR 1.27.</small>	8. <b>Nucleotide and/or Amino Acid Sequence Submission</b> <small>(if applicable, all necessary)</small>
3. <input checked="" type="checkbox"/> <b>Specification</b> [Total Pages <b>42</b> ] -(preferred arrangement set forth below) - Descriptive title of the invention - Cross References to Related Application - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the invention - Background of the invention - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	a. <input type="checkbox"/> <b>Computer Readable Form (CRF)</b> b. <b>Specification Sequence Listing on:</b> i. <input type="checkbox"/> <b>CD-ROM or CD-R (2 copies); or</b> ii. <input type="checkbox"/> <b>paper</b> c. <input type="checkbox"/> <b>Statements verifying identify of above copies</b>
4. <input type="checkbox"/> <b>Drawing(s) (35 U.S.C.113)</b> [Total Sheets <input type="checkbox"/> ]	<b>ACCOMPANYING APPLICATION PARTS</b>
5. <input type="checkbox"/> <b>Oath or Declaration</b> [Total Sheets <input type="checkbox"/> ] a. <input type="checkbox"/> <b>Newly executed (original or copy)</b> b. <input type="checkbox"/> <b>Copy from a prior application (37 CFR § 1.63(d))</b> <small>(for continuation/divisional with Box 17 completed)</small> i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <small>Signed statement attached deleting</small>	9. <input type="checkbox"/> <b>Assignment Papers (cover sheet &amp; document(s))</b> 10. <input type="checkbox"/> <b>37 CFR§3.73(b) Statement</b> <input type="checkbox"/> <b>Power Of Attorney</b> <small>(when there is an assignee)</small> 11. <input type="checkbox"/> <b>English Translation Document (if applicable)</b> 12. <input checked="" type="checkbox"/> <b>Information Disclosure Statement (IDS)/ PTO-1449</b> <input checked="" type="checkbox"/> <b>Copies of ID Citations</b> 13. <input type="checkbox"/> <b>Preliminary Amendment</b> 14. <input checked="" type="checkbox"/> <b>Return Receipt Postcard (MPEP 503)</b> <small>(Should be specifically itemized)</small> 15. <input type="checkbox"/> <b>Certified Copy of Priority Document(s)</b> <small>(if foreign priority is claimed)</small> 16. <input type="checkbox"/> <b>other:</b> .....
6. <input type="checkbox"/> <b>Application Data Sheet.</b> See 37 CFR 1.76	

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment;

<input type="checkbox"/> <b>Continuation</b>	<input type="checkbox"/> <b>Divisional</b>	<input type="checkbox"/> <b>Continuation-in-part (CIP) of prior application No. 0</b> filed on _____
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**Prior application information:** \_\_\_\_\_ **Examiner** \_\_\_\_\_ **Group/Art Unit:** \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. **CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> <b>Customer Number or Bar Code Label</b>		or <input type="checkbox"/> <b>Correspondence address below</b>
26922 PATENT TRADEMARK OFFICE		

<b>Country</b>	USA	<b>Telephone</b>	(248) 948-2020	<b>Fax</b>	(248) 948-2093
<b>Name (print Type)</b>	Mary E. Golota	<b>Registration No. (Attorney Agent)</b>	36,814		
<b>Signature</b>			<b>Date</b>	August 28, 2001	

# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.  
These are the fees effective October 1, 1997

Attorney Docket No.	20
First Named Inventor	LODFORD et al.
Filing Date	Tuesday, August 28, 2001
Title	DUAL CURE COATING COMPOSITION AND PROCESSES FOR USING THE SAME
Express Mail Certificate	EK157042014US

TOTAL AMOUNT OF PAYMENT \$926.00

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to change indicated fees and credit any over payments to:

Deposit Account Number 23-3425

Deposit Account Name BASF Corporation

☒ Change Any Additional Fee ☒ Charge the Issue Fee Set in 37 CFR §  
Required Under 37 CFR §§ 1.18 at the Mailing of the Notice of  
1.16 and 1.17 Allowance

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code Entity Fee (\$)

101	710	Utility filing fee	\$710.00
106	330	Design filing fee	
107	540	Plant filing fee	
108	790	Reissue filing fee	
114	150	Provisional filing fee	
SUBTOTAL (2)			\$710.00

### 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims 32 -20** =	12	18	\$216.00
Independent Claims 01 -3** =	0	80	
Multiple Dependent		270	

Or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	
103	18	Claims in excess of 20
102	80	Independent claims in excess of 3
104	270	Multiple dependent claims, if not paid
109	82	**Reissue independent claims over original patent
110	22	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$216.00)

## FEE CALCULATION

### 3. ADDITION FEES

Large Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	Surcharge -late filing fee or oath	
127	50	provisional ailing or cover sheet	
139	130	Non-English specification	
147	2,520	For filing request for examination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	890	Extension for reply within third month	
118	1,510	Extension for reply within fourth month	
128	2,060	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	310	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive-unavoidable	
141	1,320	Petition to revive-unintentional	
142	1,320	Utility issue fee (or reissue)	
143	450	Design issue fee	
144	670	Plant issue fee	
122	130	Petition to the Commissioner	
123	50	Petition related to provisional application	
126	240	Submission of information Disclosure Statement	
581	40	Recording each patent Assignment per property (time number of properties)	
146	790	Filing a submission after final rejection	
149	790	For each additional invention to be examined (37 CFR 1.129(b)) (specify)	
Other fee			

Reduced By Basic Fee Paid Filing

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



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or ☐ Correspondence address below

Country	USA	Telephone	(248) 948-2020	Fax	(248) 948-2093
Name (print Type)	Mary E. Golota	Registration No. (Attorney Agent)	36,814		
Signature	<i>Mary E. Golota</i>			Date	August 28, 2001

PATENT

(Practitioner's Docket No. IN-5520)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

BRADFORD et al.

US Serial No. Herewith

Group Art Unit: Not Assigned

Filed: August 28, 2001

Examiner: Not Assigned

For: DUAL CURE COATING COMPOSITION AND PROCESSES FOR USING THE SAME

Assistant Commissioner of Patents  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EK157042014US Date of Deposit August 28, 2001

I hereby certify that the following attached paper or fee

☒ Application  
Specification on 37 pages; claims on 04 pages;  
the abstract on 01 page(s); drawings on 0 page(s).

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)

  
Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g.

complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.